# This Page Is Inserted by IFW Operations and is not a part of the Official Record

### BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

### IMAGES ARE BEST AVAILABLE COPY.

As rescanning documents will not correct images, please do not report the images to the Image Problem Mailbox.

# (19) World Intellectual Property Organization International Bureau



### 

#### (43) International Publication Date 13 March 2003 (13.03.2003)

#### PCT

# (10) International Publication Number WO 03/020246 A1

- (51) International Patent Classification7: A61K 9/22, 9/28, 9/44
- (21) International Application Number: PCT/EP02/09274
- (22) International Filing Date: 20 August 2002 (20.08.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data: 0120835.4 28 August 2001 (28.08.2001) GB
- (71) Applicant (for all designated States except US): SMITHKLINE BEECHAM P.L.C. [GB/GB]; 980 Great West Road, Brentford, Middlesex TW8 9GS (GB).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): CLARKE, Álan, J. [US/US]; GlaxoSmithKline, 709 Swedeland Road, King of Prussia, PA 19406 (US). GLINECKE, Robert [DE/US]; GlaxoSmithKline, 709 Swedeland Road, King of Prussia, PA 19406 (US). LI, Chi, Leung [GB/GB]; GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW (GB). MARTINI, Luigi, G. [GB/GB]; GlaxoSmithKline, New Frontiers Science Park South, Third Avenue, Harlow, Essex CM19 5AW (GB).

- (74) Agent: WALKER, Ralph, Francis; GlaxoSmithKline, Corporate Intellectual Property CN925.1, 980 Great West Road, Brentford, Middlesex TW8 9GS (GB).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

#### Published:

- with international search report
- before the expiration of the time limit for amending the claims and to be republished in the event of receipt of amendments

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: INJECTION MOLDING PROCESS FOR THE PREPARATION OF AN ORAL DELIVERY DEVICE FOR A PHARMACEUTICALLY ACTIVE AGENT

(57) Abstract: An injection moulding process for the preparation of an oral delivery device comprising a core which contains a pharmaceutically active agent, having a coating with one or more openings leading to such a core. The invention also relates to devices produced by the process, and to injection moulds suitable for performing the process



INJECTION MOLDING PROCESS FOR THE PREPARATION OF AN ORAL DELIVERY DEVICE FOR A PHARMACEUTICALLY ACTIVE AGENT

The present invention relates to a novel process for the preparation of an oral delivery device for a pharmaceutically active agent. In particular, the invention relates to a process for the preparation of an oral delivery device comprising a core which contains the active agent, having a coating with one or more openings leading to such a core. The invention also relates to novel oral delivery devices obtainable by the process of this invention.

5

10

15

20

25

30

35

The coating of tablet cores comprising an active agent, for example to prepare a pharmaceutical tablet for oral administration, is well established practice. The common reasons for so doing include: improved product mechanical integrity; improved stability to the surrounding environment (particularly air, moisture and light); a means of modifying the release rate of the active agent; and in order to achieve distinctive or improved aesthetic characteristics. Processes for coating are also well established in the art.

Of the factors described above, the use of a coating to control the rate of release of an active agent has received considerable attention and, indeed, many different devices have been developed for such a purpose. Some of the devices utilised are discussed in US-A-5,004,614. This patent describes a controlled release device with an impermeable coating having an orifice for release of drug when the device has been orally administered and is immersed in an aqueous medium such as gastro-intestinal fluid. Such devices are prepared either according to pressure coating or dip coating methods and the orifice is formed by removing sections of the formed coating with laser or mechanical drilling techniques.

It is an object of this invention to provide an alternative process for the preparation of devices of the above described type. It is a particular object of this invention to provide an improved process for the manufacture of devices of the type disclosed in US-A- 5,004,614, the contents of which are incorporated herein by reference. It is also an object of the invention to provide novel constructions of devices of this general type. Other objects and advantages of the invention will become apparent from the following description.

The present invention therefore provides, in a first aspect, a process for the preparation of a delivery device comprising a core which includes a pharmaceutically active agent covered by an outer coating which includes one or more openings communicating from the exterior of the device to the core characterised in that the outer coating is applied by injection moulding said coating around said core.

A process for making a device according to this invention may, for example, comprise the steps of:

providing, if necessary preparing, the core of the device comprising a pharmaceutically active agent;

locating said core within a mould cavity surrounding the core, said mould cavity defining the required dimensions of the outer coating and preferably also defining the required position, shape and dimensions of the one or more openings;

injecting a fluid mouldable material into said mould cavity; allowing the material to set to thereby form the outer coating; separating the formed device from the mould cavity.

5

10

15

20

25

30

35

The core may be prepared by compressing suitable ingredients for the core to form a compacted mass which comprises the core of the device (also referred to herein as "tablet core"). This may be prepared using conventional tablet excipients and formulation compression methods. Thus, the core would typically comprise the active agent or agents along with excipients that impart satisfactory processing and compression characteristics such as diluents, binders and lubricants. Additional excipients that may form part of the core of the device include disintegrants, flavourants, colorants and release modifying agents. Typically the active agent and excipients are thoroughly mixed prior to compression into a solid core. The core of the device can be formed by conventional tablet-forming processes such as wet granulation methods, dry granulation methods or by direct compression. The core can be produced according to any desired pre-selected shape such as bi-convex, hemispherical, near hemi-spherical, round, oval, generally ellipsoidal, oblong, generally cylindrical or polyhedral, e.g. a triangular prism shape.

A preferred shape of core is one which is generally of cylindrical shape having two opposite facing generally convex circular end faces. Such convex end faces may be of a generally part-spherical domed convex shape, or generally conical or frustro conical. Another preferred shape of core is a convex or of a bi-convex shape comprising two opposite-facing domed surfaces which are generally circular or elliptical in plan.

The term "near hemi-spherical" is intended to be construed in the manner described in US-A- 5,004,614. The term "cylindrical" is intended to include both true cylindrical shapes and distorted cylindrical shapes. Preferably the core is formulated into a bi-convex shape, e.g. having two domed opposite surfaces. If the core has corners, e.g. corners between cylindrical side surfaces and convex end surfaces, a rounded corner radius of ca. 1mm is preferred to assist flow of the fluid coating material during injection.

The core could be produced in a multi-layered (e.g. bi- or tri- layered) form.

The delivery device of the invention is most suitable as an oral delivery device, as it can conveniently be made in the shape and size of a pharmaceutical

tablet, well known to those acquainted with pharmaceutical technology. The core can comprise active agents which are suitable for use in a wide range of therapies, particularly for oral delivery, and include those listed US-A-5,004,614. The quantity of active agent present within the core is a matter to be determined based upon typical pharmaceutical considerations, e.g. known dosages for the active materials contained therein, and is not limited by the process of this invention or the structure of the delivery devices formed thereby.

It will be appreciated that to carry out the process of this invention, the tablet core must be accurately located within the mould cavity to thereby achieve a precisely defined thickness of coating and/or positioning of the one or more opening. Preferably the tablet core is located via robotic means.

10

15

20

25

30

35

The core of the device is coated with a suitable material by an injection moulding process. Injection moulding generally involves the injection of a molten thermoplastic, fluid-like material, often a fluid polymer under pressure and usually at an elevated temperature, into a precisely made die cavity in a mould block. Upon cooling, typically to ambient temperature, the fluid material solidifies to form a solid product reproducing the internal shape of the cavity. Alternatively the injection moulding process may use a thermosetting fluid-like material, for example injecting the material in a fluid state into a heated mould, where the heat acts upon the thermosetting material to solidify it. Silicone materials are known thermosetting materials. Such moulding techniques are well known in the art of manufacture of small plastic material components. Typical injection moulding apparatus comprise a polymer feed system, consisting of a polymer reservoir, e.g. a hopper of polymer pellets or granules, a heater and a screw pump that forces the fluid polymer down an injection port towards the mould.

The injection moulding process of this invention can be carried out on a standard injection moulder, e.g. of a hot or cold runner type. A hot runner system is preferred, and although valve gates could be used to eliminate the gate pip, in practice the very small residual gate pip left by conventional hot runner machines is likely to be insignificant. Such apparatus is capable of operating over a wide range of temperatures and pressures. It will be appreciated however, that a principal factor affecting the utility of this invention is the capability of the tablet core to withstand the rigours of injection moulding conditions. For example many pharmaceutically active agents are complex organic compounds which are susceptible to thermal degradation at elevated temperatures, which may be exacerbated by simultaneous application of high pressure. Therefore it is preferred to use temperatures for the injection moulding process which are considered to minimise or ideally avoid any thermal degradation for the active agent in question. For many such agents suitable

processing conditions to achieve this are specified in the literature. For these reasons, it is believed that typical operational conditions would be between temperatures of 25 - 300°C, more typically 50 - 250°C and especially 50 - 150°C. Preferably the injection moulding pressure should be less than 6000psi (ca. 400-450 kg/cm²) to avoid damage to a tablet core within the mould cavity, typically pressures of 200 to 1000 psi (ca. 14-70 kg/cm²), more typically 400 to 600 psi (ca. 30-45 kg/cm²) have been found suitable. Conversely the tablet core should be made of materials and using suitable conditions that the core can withstand such pressures within the mould without breaking or crumbling.

10

15

20

25

30

35

The material of the outer coating may be any material which blocks (either permanently of for a suitable time period) exposure of the core to an environmental fluid, e.g. a gastro-intestinal fluid, and is not removed by dissolution or otherwise disrupted before a predetermined duration for controlled, delayed or sustained release of the active material in the core has occurred. Alternatively, the coating material may be selected because of aesthetic considerations. Any pharmaceutically acceptable fluid mouldable material which exhibits thermoplastic properties can be used as an outer coating for the tablet core, and suitable materials include thermoplastic organic polymers. Those skilled in the art of injection moulding characterise the flow properties of polymeric materials according to a melt flow index which ranges from 1 g/10min (very poor flow) to 50 g/10min (very high flow). It has been found that materials that exhibit a melt flow index in the range of 15-30g/10min are particularly suitable for use in this invention. Representative materials and their blends suitable for use as a coating material in this invention include those listed in US-A-5,004,614. Preferred coating materials include the polymethacrylate copolymers, natural waxes and lipids, and biodegradable polymers in general. Other suitable polymer materials include polyvinyl acetates, such as the 40 and 20 grades thereof, cellulose acetate, butyrate and phthalate, EVA (ethylene vinyl acetate) or HPC (hydroxypropyl cellulose), silicones, or copolymers of methacrylic acid, methylmethacrylate, and methyl acrylate, such as that known as 4135F, available from Röhm polymers, or a blend based on 4135F. 4135F comprises a methacrylic acid, methylmethacrylate, methyl acrylate copolymer in a typical ratio 25:65:10 with a dissolution threshold of pH greater than 7.2.

Accordingly in a further aspect, the present invention provides a device adapted for oral delivery of a pharmaceutically active agent, when made by a process as described herein. Typically such a device comprises a core which includes a pharmaceutically active agent covered by an outer coating which includes one or more openings communicating from the exterior of the device to the core characterised in that the outer coating is a polymeric material exhibiting a melt flow

index which ranges from 1 g/10min (very poor flow) to 50 g/10min (very high flow), especially that exhibits a melt flow index in the range of 15 - 30 g/10min, applied by injection moulding said coating around said core.

The thickness of the outer coating can be readily adapted. For devices such as those described in US-A-5,004,614 it is important that, for materials that do exhibit a certain degree of permeability to environmental fluids, that the coating is applied at such a thickness to prevent exposure to the core before the desired duration of the controlled release has passed. For immediate release devices a considerably thinner coating could be utilised or a coating applied which is designed to dissolve in gastrointestinal fluid. It is believed that a suitable coating thickness that can be achieved by this process of this invention is the range of 0.1 mm to 2mm, preferably in the range 0.2 to 0.8 mm, more preferably in the range 0.1 to 0.6mm Typically tablet cores may be made with a tolerance of + 0.025mm on a cylindrical diameter, and + 0.1 mm on a cylindrical height. Typically there might be variation of less than 0.03 mm in an injection mould cavity, and these figures indicate typical tolerance ranges for the thickness of the coating achievable by the process of this invention. An important factor to consider is the melt flow index of the thermoplastic polymer. Thus, to obtain the thinnest coating section it will be necessary to use a polymer with a high melt flow characteristics. Even small thickness variations can have significant effects on melt flow, and as a coating thickness of nominally 0.6 - 0.4 mm appears to be optimum from the point of view of the moulding process, although pharmaceutical requirements of the delivery device might require other thicknesses.

10

15

20

25

30

35

A mould suitable for use in the process of this invention has a cavity in which the tablet core may be located with a space around the said core to define the required shape and dimensions of the coating, with one or more internal member extending from the interior surface of the mould cavity to abut the said core and to define the shape and position of the said one or more opening. Typically the mould will incorporate plural cavities to maximise the production rate of the process, as is standard practice in the injection moulding art. Typically the mould might include 16 cavities. The internal members define the openings of the device. Such internal members are preferably designed without any overhang between them and the interior surface of the mould cavity, so that they can be easily separated from the device produced therein without any damage occurring to the coating. These internal members may also serve to hold the core in place as the fluid coating material is injected into the mould cavity.

In a preferred construction of mould, one or more internal member is resiliently mounted, e.g. spring-mounted, so as to be able to move reciprocally resiliently inward and outward relative to the mould cavity. By this construction such

a resilient internal member can apply a resilient pressure to a core when enclosed in the mould cavity to help to hold the core in place within the mould cavity. Also the ability of such a member to move slightly when it contacts a tablet core on closing the mould can help to relieve any pressures on the core which might tend to break the core, and can help the internal member to accommodate to variations in the size between tablet cores. Preferably the resilient mounting of such an internal member should be such as to apply a resilient pressure of up to 200psi (ca. 14 kg/cm²) to the tablet core, or conversely to be resiliently moveable under such a pressure applied thereto.

It is also preferred to provide an internal member with a vacuum conduit passing therethrough to the outside of the mould, by which reduced pressure may be applied to a tablet core in contact with the member to assist in retaining the core in place in the mould. Suitably the vacuum conduit may pass through a resiliently mounted internal member as described above. Such a vacuum conduit may be useful both in moulds which close along a horizontal axis such that the reduced pressure prevents the cores from falling out of the cavity, and also moulds which close along a vertical axis so that the reduced pressure supplements gravity in holding the core in place.

10

15

20

25

30

35

Normally an injection mould has one fixed part and a second moving part which moves into contact with the fixed part to close the mould. Suitably the resilient member and any vacuum conduit could be on this fixed part.

For use with a mould as described above having an internal member it is preferred to provide the core with at least one small seating indentation of a shape generally corresponding to the part of the member that contacts the core, and so positioned on the core that when the mould encloses a tablet core, the member seats in the indentation. This can help to positively locate the core in the mould cavity and to secure the core in place in the mould cavity. In the above-described bi-convex core such an indentation may be located on one or both of the convex surfaces, e.g. the convex end surfaces of the generally cylindrical core. Such an indentation may be need to be at most 1.5 mm deep, and preferably for example may need to be only ca. 0.005 cm deep. Suitably such an indentation is tapered to be narrowest at its bottom, e.g. having a frustro-conical profile. The core may also be provided with one or more, preferably at least three small seating projections e.g. ribs, to engage with the inner surface of the mould cavity, e.g. with corresponding concavities therein, to assist in locating the core within the mould cavity. Such projections may be shaped to make only a point contact with the mould cavity so as to avoid resulting in the formation of any corresponding opening through the coating.

Generally the mould cavity is made in a multi-part, preferably a two part mould construction, which close together with great precision, where each part defines a respective part of the mould cavity. When assembled together the two mould parts define an internal cavity i.e. such that, on closure, a cavity around the tablet core is defined which corresponds to the required dimensions of the coating. Such a construction further allows the mould cavity to be opened to allow the formed device to be separated from the mould. Moulds of this general type for use in injection moulding processes are well known in the art. The one or more internal members can be located at any suitable position on the inner wall surface of the mould cavity and are preferably made integral with the mould. Where a multi-part construction is utilised the internal members may be located upon one or more mould parts. The parts of such a multi-part mould may close horizontally, i.e. along a horizontal axis, or vertically, i.e. along a vertical axis. A horizontally closing mould is preferred.

The mould may also incorporate one or more pairs of retractable side cores which can move together to grip a tablet core when the core has been located in a part of the mould, to help to hold the core in place until the mould is fully closed. Such side cores may be particularly useful in a horizontally closing mould.

A typical process of the invention may involve the steps (1) loading tablet cores into a feeder, e.g. a vibratory bowl feeder, for discharge onto one or more conveyor, from which the tablet cores can be collected in the alignment required for picking up by a robot equipped with suction grippers, (2) the robot placing the tablet cores onto a register station to accurately position them in the spatial arrangement required for insertion into the mould cavities, (3) a robot picking up the tablet cores and inserting them into the mould cavities, (4) performing the injection of coating material, (5) if a vertically closing mould is used, removing the completed devices from the mould cavities using a robot. Step (2) might be eliminated, e.g. by designing a collection device at the end of the conveyor with sufficient accuracy that subsequent alignment on a register is not required. Steps (3) and (4) might be combined by designing a robot with two sets of grippers, one to hold the tablet cores and one to hold the completed articles, so whilst the mould is open the gripper head could extract the completed devices, index sideways, and place new tablet cores into the cavities.

The invention also provides, in a further aspect, a die or mould suitable for use in the moulding process, which has a cavity in which a tablet core may be located and being of dimensions such as to leave a space around the said core to define the required shape and dimensions of the coating, with one or more internal members extending from the interior surface of the mould cavity to abut the said core.

It will be appreciated that the required shape, size, number of openings and the geometric arrangement of openings required for the device can be readily achieved by a suitable arrangement of the shape, size, number and relative positions of the internal members(s) on the mould or mould part. Any single opening can be as fine as 0.1um and up to as large as a face of the tablet core e.g. 10mm. Typical openings would be in the range 0.5mm - 4mm. Preferably, the opening(s) of the device will comprise about 10 - 60 % of the total face area of the device. The opening may have any convenient shape, but is preferably rounded, e.g. substantially circular or elliptical.

5

10

15

20

25

30

35

For example, in one embodiment the device may comprise a core which is generally of cylindrical shape having two opposite facing substantially circular end faces or of a bi-convex shape comprising two opposite-facing domed surfaces which are generally circular or elliptical in plan, covered with an outer coating which generally conforms to the outer shape of the core, the coating having two opposite facing openings therein communicating with substantially the centre of each of said respectively substantially circular or domed surfaces.

The injection moulding process of this invention can be used to produce a device which can be used for immediate, delayed or sustained release, for example to achieve release of the active agent at a pre-determined part of the gastro-intestinal tract. Those skilled in the art, when considering the release profile of a device containing an active agent, would consider factors such as drug solubility, the surface area and number of openings, coating thickness and the tablet core formulation properties. It will be appreciated that such variations in device can be readily accommodated by the process of this invention.

This process differs from known methods *inter alia* in that the coating is applied to create the device in a single operation i.e. no further processing of the coating is required such as mechanical drilling of the coat to expose the core. It permits an improved method of producing devices with a varying number, size and shape of openings. Moreover, the accuracy of opening size is more reproducible. It is believed that this process is more robust and simpler to operate than known methods and is particularly suitable for mass production of such devices.

The invention will now be described by way of example only with reference to the accompanying drawings.

Figs. 1 to 3 schematically shows sequential stages in the use of the process to make a device in accordance with this invention.

Figs. 4 and 5 show a device as made using the process of this invention. Fig. 6 shows a preferred injection mould for the process of the invention. Fig. 7 shows a preferred shape of tablet core.

With respect to Figs.1 to 3, a tablet core 1 is shown within a mould overall 2, made in two mating halves 2A and 2B. The core 1 is of a generally cylindrical shape having two opposite facing substantially circular end faces and cylindrical side walls, and Fig. 1 shows this cylindrical core in longitudinal section. The mould defines a cavity 3 which defines the shape of the coating to be applied to the tablet core, each of the two halves 2A and 2B defines a part cavity so that when the two halves 2A and 2B are put together the entire cavity 3 is defined. The cavity 3 conforms closely to the shape and dimensions of the core 1, but leaves a gap around the core 1 which subsequently defines the thickness of the coating to be formed therein.

5

10

15

20

25

30

35

Extending from the inner wall of the mould cavity 3 are internal members being projections 4 integrally formed with the mould parts 2A and 2B. These projections 4 abut against the core 1 when this is within the mould cavity 3, and serve both to hold the core in place within the cavity, preventing the core from being dislodged when coating material is injected into the cavity, and to define the size, shape and position of the openings to be formed in he coating. These projections 4 are shaped without any overhang between them and the inner wall of the mould cavity, to allow the projections to be removed from the subsequently formed coating without damaging the coating. Suitably the projections 4 may taper, being narrowest at the end remote from the inner wall of the mould cavity.

In Fig. 1 the mould 2 is shown in an open configuration, with a fixed mould part 2A lowermost, and the tablet core 1 resting on the projection. In Fig 2 the mould 2 has been closed, so that the projection 4 of the upper mould part 2B has come into contact with tablet core 1.

In Fig. 3 a molten coating material 6 has been injected into the cavity 3 via injection port 5 positioned at a convenient point on the fixed part 2A of the mould 2. Following cooling the two mould parts 2A and 2B are opened and the formed device 7 is ejected.

The device 7 is shown in cross section and a plan view respectively in Figs. 4 and 5, and comprises the core 1, enclosed by the coating 6, through which extend the two openings 8 corresponding to the respective positions of the two projections 4.

In Fig. 6 a preferred construction of mould 20 is shown in cross section. This has two parts 20A, 20B corresponding to those 2A, 2B of mould 2. These parts 2A, 2B have internal projections 24A, 24B corresponding to those 4 of mould 2. Each projection 24A, 24B is of a frustro conical shape, widest at its base where it meets the inner surface of the mould cavity 23. The projection 24A in the fixed part 20A is resiliently reciprocally moveable in the direction of the arrows, under the biasing action of a spring (not shown) bearing upon its end 24C outside the mould part 20A, and the projection 24A is slideably mounted in a guide channel 25 passing through the

mould part 20A (the clearance in the channel 25 between the part 24A and the mould part 20A is exaggerated for clarity). The resilient mounting of the projection 24A is such as to move under a downward pressure of ca. 200 psi from a tablet core (not shown) bearing thereon. Passing through projection 24A is a vacuum conduit 26, via which a partial vacuum can be applied to such a tablet core resting on projection 24A downwards. There is an injection gate 27 in the lower fixed mould part 24A for injection of fluid coating material.

Referring to Fig. 7 a preferred tablet core shape 71 is shown in plan (Fig. 7A) and in side view (Fig. 7B). The shape is generally cylindrical, with a cylindrical part 72 having spherically domed convex end faces 73, 74. In each face 73, 74 is an indentation 75, 76 of a profile each matching the convex frustro-conical profile of the projections 24A, 24B of the mould 20. The slope of the conical sides of these indentations is ca 35° relative to the longitudinal cylindrical axis of the core 71. The diameter of the outer rim of each indentation 75, 76 is ca. 70-75% of the overall diameter of the cylindrical shape, and the depth in the longitudinal direction is ca. 0.4 mm.

#### Example 1

5

10

15

20

25

30

35

The following tablet cores were formed by conventional means by mixing together the active ingredients with excipients and compressing to form the tablet core. These examples are intended to be by way of illustration rather than limitation.

Tablet core a) represents a core that is suitable for use in an immediate release formulation which consists of 10% active ingredient, 60% microcrystalline cellulose, 24% lactose, 5% starch glycolate (disintegrant) and 1% magnesium stearate (lubricant).

Tablet b) represents a core that is suitable for use in a controlled release formulation which consists of 10% active ingredient, 40% hydroxypropylmethyl cellulose (HPMC), 24% lactose, 20% microcrystalline cellulose, 5% starch glycolate and 1% magnesium stearate.

The coating material used was a low density polyethylene produced by Exxon Chemical. The grade was LD600BA natural. This material demonstrates a wide range of processing temperatures (160 to 240°C) and has a melt flow index of 20.5 g/10min. Operating conditions utilised were 150°C and pressure of 400psi.

The injection moulding machine used was a 35T Arburg.

The tablet cores shown in Figs 1 to 5 and 7 typically had a diameter of 8mm. The coating had a thickness, as defined by the gap in the cavity between the core and the inner wall of the cavity of ca. 0.5mm. The openings 7 in the coating were typically

circular in shape having a diameter of 1mm, or ca. 6 mm using the core shape shown in Fig. 7.

It has been found that these injection moulding operating conditions did not have an adverse effect on the tablet core i.e. mechanical integrity was maintained.

5

#### Claims:

10

15

20

25

30

1. A process for the preparation of a device comprising a core which includes a pharmaceutically active agent covered by an outer coating which includes one or more opening communicating from the exterior of the device to the core characterised in that the outer coating is applied by injection moulding said coating around said core.

2. A process according to claim 1 which comprises; providing a core of the device comprising a pharmaceutically active agent; locating said core within a mould cavity surrounding the core, said mould cavity defining the required dimensions of the outer coating and also defining the required position, shape and dimensions of the one or more opening;

injecting a fluid mouldable material into said mould cavity; allowing the material to set to thereby form the outer coating; separating the formed device from the mould cavity.

3. A process according to claim 1 or 2 wherein a moulding pressure less than 400-450 kg/cm<sup>2</sup> is used.

4. A process according to claim 2 or 3 wherein the mould has a cavity in which the tablet core may be located with a space around the said core to define the required shape and dimensions of the coating, with one or more internal member extending from the interior surface of the mould cavity to abut the said core and to define the shape and position of the said one or more opening.

5. A process according to claim 4 wherein one or more internal member is resiliently mounted so as to be able to move reciprocally resiliently inward and outward relative to the mould cavity.

6. A process according to claim 5 wherein the resilient mounting of the internal member is such as to apply a resilient pressure of up to 14 kg/cm<sup>2</sup> to the tablet core, or to be resiliently moveable under such a pressure applied thereto.

7. A process according to claim 5, 5 or 6 wherein an internal member is provided with a vacuum conduit passing therethrough to the outside of the mould, by which reduced pressure may be applied to a tablet core in contact with the member to assist in retaining the core in place in the mould.

8. A die or mould suitable for use in the process according to any one of claims 4 to 7 having a cavity in which the tablet core may be located with a space around the said core to define the required shape and dimensions of the coating, with one or more internal member extending from the interior surface of the mould cavity to abut the said core and to define the shape and position of the said one or more opening.

9. A die or mould according to claim 8 which closes along a horizontal axis.

5

30

- 10. A device adapted for oral delivery of a pharmaceutically active agent, comprising a core which includes a pharmaceutically active agent covered by an outer coating which includes one or more openings communicating from the exterior of the device to the core, when made by a process according to any one of claims 1 to 7
- 15 11. A device according to claim 9 wherein the outer coating is a polymeric material exhibiting a melt flow index in the range of 15 30 g/10min, applied by injection moulding said coating around said core.
- 12. A device according to claim 10 or 11 wherein the polymeric material is selected from polymethacrylate copolymers, natural waxes and lipids, biodegradable polymers in general, polyvinyl acetate, cellulose acetate, butyrate and phthalate, ethylene vinyl acetate, hydroxypropyl cellulose, copolymers of methacrylic acid, methylmethacrylate, methyl acrylate, and silicones.
- 25 13. A device according to claim 11 or 12 in which the outer coating has a thickness in the range 0.1 to 2.0 mm.
  - 14. A device according to any one of claims 10 to 13 wherein the material of the outer coating blocks exposure of the core to an environmental fluid.
  - 15. A device according to any one of claims 10 to 14 wherein the core is generally of cylindrical shape having two opposite facing generally convex circular end faces.
- 16. A device according to any one of claims 10 to 14 wherein the core is a convex
   35 or a bi-convex shape comprising two opposite-facing domed surfaces which are generally circular or elliptical in plan.

17. A device according to any one of claims 10 to 16 wherein the outer coating generally conforms to the outer shape of the core, the coating having two opposite facing openings therein.

- 5 18. A device according to any one of claims 10 to 17 wherein the core has a seating indentation of a shape generally corresponding to the part of an internal member of the mould that contacts the core, and so positioned on the core that when the mould encloses the tablet core the member seats in the indentation.
- 10 19. A device according to any one of claims 10 to 18 wherein the core has one or more seating projection to engage with the mould cavity.

1/2

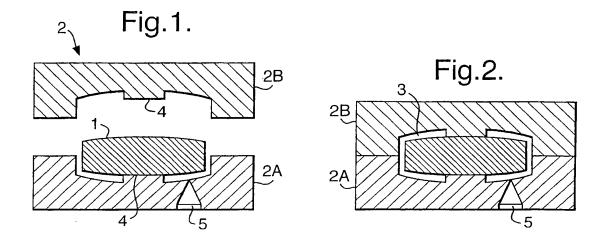
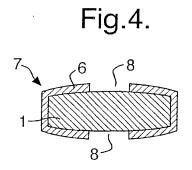
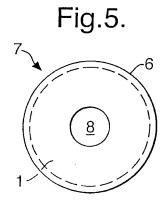


Fig.3.





2/2

Fig.6.

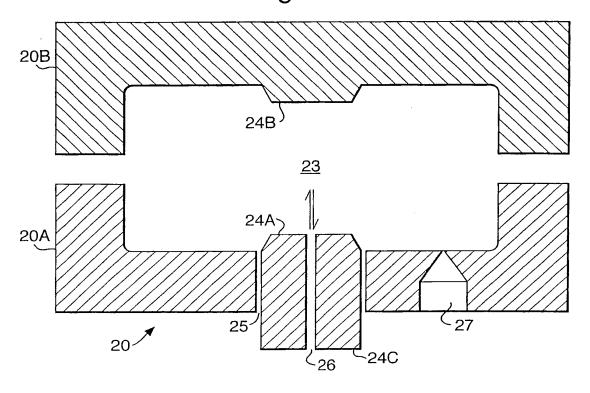
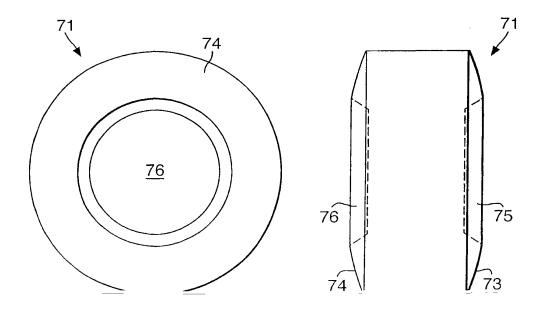


Fig.7.



European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel (+31-70) 340-2040 Tx 31 651 epo nl Intern: 1 Application No PCT/rr 02/09274

PCT/Er 02/09274 A. CLASSIFICATION OF SUBJECT MATTER IPC 7 A61K9/22 A61K A61K9/28 A61K9/44 According to International Patent Classification (IPC) or to both national classification and IPC B. FIELDS SEARCHED Minimum documentation searched (classification system followed by classification symbols) IPC  $\,7\,$  A61K A61P Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Electronic data base consulted during the international search (name of data base and, where practical, search terms used) EPO-Internal, WPI Data, PAJ, EMBASE, BIOSIS C. DOCUMENTS CONSIDERED TO BE RELEVANT Relevant to claim No. Category ° Citation of document, with indication, where appropriate, of the relevant passages 10 - 19χ US 5 004 614 A (STANIFORTH JOHN N) 2 April 1991 (1991-04-02) cited in the application the whole document US 5 071 607 A (AYER ATUL D ET AL) 1 - 19Α 10 December 1991 (1991-12-10) the whole document 1 - 19WO 89 09066 A (BUKH MEDITEC) Α 5 October 1989 (1989-10-05) page 19, line 7-20 page 21, line 7-17; figure 3 EP 1 057 478 A (ALZA CORP) 1 - 19Α 6 December 2000 (2000-12-06) page 5, column 8, line 43-49 Further documents are listed in the continuation of box C. Patent family members are listed in annex. ° Special categories of cited documents: 'T' later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the \*A\* document defining the general state of the art which is not considered to be of particular relevance \*E\* earlier document but published on or after the international \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) involve an inventive step when the document is taken alone 'Y' document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled \*O\* document referring to an oral disclosure, use, exhibition or in the art. document published prior to the international filing date but later than the priority date claimed \*&\* document member of the same patent family Date of the actual completion of the international search Date of malling of the international search report 27/01/2003 10 January 2003 Name and mailing address of the ISA Authorized officer

Intern: Application No
PCT/Er 02/09274

		PCT/Er O	2/09274	
	ation) DOCUMENTS CONSIDERED TO BE RELEVANT			
ategory °	Citation of document, with indication, where appropriate, of the relevant passages		Relevant to claim No.	
4	US 6 183 466 B1 (FERRARI VINCENT J ET AL) 6 February 2001 (2001-02-06) column 3, line 56-65; figures		1-19	
<b>\</b>	WO 99 18159 A (FERRARI VINCENT J ;ALZA CORP (US); SMITH TED (US); DONG LIANG C (U) 15 April 1999 (1999-04-15) example 11		1-19	
	US 6 180 129 B1 (ECKENHOFF JAMES B ET AL) 30 January 2001 (2001-01-30) column 19, line 5-10; figures		1-19	
,				

mation on patent raining members

Intern Application No
PCT/EP 02/09274

					1 01/11	02/092/4
	atent document d in search report		Publication date		Patent family member(s)	Publication date
US	5004614	Α	02-04-1991	AT AU	110262 T 4218489 A	15-09-1994 23-03-1990
				CA	1339079 A1	29-07-1997
				DE	68917677 D1	29-09-1994
				DE	68 <b>9</b> 17677 T2	22-12-1994
				EP	0365123 A1	25-04-1990
				ES	2058543 T3	01-11-1994
				WO	9001925 A1	08-03-1990
				GB	2222948 A ,B	28-03-1990
				IE 	63764 B1 	14-06-1995
US	5071607	Α	10-12-1991	NONE		
WO	8909066	Α	05-10-1989	AT	82138 T	15-11-1992
				AU	3432689 A	16-10-1989
				CN	1037835 A	13-12-1989
				DE	68903499 D1	17-12-1992
				DE	68903499 T2	03-06-1993
				DK	230990 A	24-09-1990
				WO	8909066 A1	05-10-1989
				EP 1D	0406315 A1	09-01-1991 09-08-1995
				JP JP	7074163 B 3503415 T	01-08-1995
				US	5618560 A	08-04-1997
	 1057478	 A	06-12-2000	us	5614578 A	 25-03-1997
Er	105/4/6	А	00-12-2000	EP	1057478 A2	06-12-2000
				ĀT	203396 T	15-08-2001
				AU	695739 B2	20-08-1998
				AU	3966095 A	23-05-1996
				AU	8612598 A	19-11-1998
				CA	22 <b>0</b> 0746 A1	09-05-1996
				DE	69521901 D1	30-08-2001
				DE	69521901 T2	15-11-2001
				EΡ	0782440 A1	09-07-1997
				ĴΡ	10508023 T	04-08-1998
				ΝZ	295982 A	27-05-1998
				WO	9613248 A1	09-05-1996
				ÜS	5830502 A	03-11-1998
				ZA	9508892 A	05-07-1996
US 	6183466	B1	06-02-2001	NONE		
WO	9918159	<b>-</b>	15-04-1999	AU	1068499 A	27-04-1999
				WO	9918159 A1	15-04-1999
				US 	6153678 A	28-11 <b>-</b> 2000
US	6180129	B1	30-01-2001	US	5714160 A	03-02-1998
				US	5034229 A	23-07-1991
				US	5728088 A	17-03-1998
				US	5980509 A	09-11-1999
				ΑT	96337 T	15-11-1993
				AT AU		15-11-1993 04-02-1993
				ΑT	96337 T	15-11-1993 04-02-1993 21-06-1990
				AT AU	96337 T 633514 B2	15-11-1993 04-02-1993 21-06-1990 09-08-1994
				AT AU AU	96337 T 633514 B2 4247889 A	15-11-1993 04-02-1993 21-06-1990
				AT AU AU CA	96337 T 633514 B2 4247889 A 1331328 A1	15-11-1993 04-02-1993 21-06-1990 09-08-1994

nation on patent family members

inte	Application No
1	02/09274

Patent document cited in search report	Publication date		Patent family member(s)	Publication date
US 6180129 B1		EP	0373867 A1	20-06-1990
00 0100125		ES	2045474 T3	16-01-1994
		ΙE	62142 B1	14-12-1994
		JP	2184619 A	19-07-1990
		JP	2532692 B2	11-09-1996
		KR	132212 B1	11-04-1998
		NO	894810 A ,B,	14-06-1990
		NZ	230872 A	25-09-1991
		US	5630808 A	20-05-1997
		US	5174999 A	29-12-1992
		US	5057318 A	15-10-1991
		ÜS	5037420 A	06-08-1991
		US	5110596 A	05-05-1992
		US	5135523 A	04-08-1992
		ÜS	5059423 A	22-10-1991
		US	5320616 A	14-06-1994
		ZA	8907706 A	25-07-1990